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Benchmarking full version of GureKDDCup, UNSW-NB15, and CIDDS-001 NIDS datasets using rollingorigin resampling

Yee Jian Chew, Nicholas Lee, Shih Yin Ooi, Kok-Seng Wong & Ying Han Pang

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Benchmarking Full Version of GureKDDCup, UNSW-NB15, and CIDDS-001 NIDS Datasets using Rolling-origin Resampling

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Benchmarking Full Version of GureKDDCup, UNSW-NB15, and CIDDS-001 NIDS Datasets using Rolling-origin Resampling

Network intrusion detection system (NIDS) is a system that analyses network traffic to flag malicious traffic or suspicious activities. Several recent NIDS datasets have been published, however, the lack of baseline experimental results on the full version of datasets had made it difficult for researchers to perform benchmarking. As the train-test distribution of the datasets has yet to be predefined by the creators, this further obstruct the researchers to compare the performance unbiasedly across each of the machine classifiers. Moreover, crossvalidation resampling scheme have also been addressed in the literatures to be inappropriate in the domain of NIDS. Thus, rolling-origin – a standard resampling technique which is also known as a common cross-validation scheme in the forecasting domain is employed to allocate the training and testing distributions. In this paper, rigorous experiments are conducted on the full version of the three recent NIDS datasets: GureKDDCup, UNSW-NB15, and CIDDS-001. While the datasets chosen might not be the latest available datasets, we have selected them as they include the essential IP addresses fields which are usually missing or removed due to some sort of privacy concerns. To deliver the baseline empirical results, 10 well-known classifiers from Weka are utilized. errormance unbiaseary across each or the machine classiners. Moreover, ere alidation resampling scheme have also been addressed in the literatures to appropriate in the domain of NIDS. Thus, rolling-origin – a standard res

Keywords: Network Intrusion Detection System (NIDS), Baseline, Benchmark, Sampling, Rolling-origin, Cross-Validation, Machine Classifier, GureKDDCup, UNSW-NB15, CIDDS-001

1 Introduction

As the number of coordinated cyberattacks are observed to be escalating swiftly over the past few years, numerous works have been developed by the research communities to enhance the capability of an intrusion detection system (IDS) for safeguarding an individual or organization against cyber threats (Mishra et al., 2018; Singh & Silakari, 2009). In particular, NIDS is designed to detect malicious traffic from network traces, and this can be achieved by creating a classification model to detect malicious traffic. With the explosive growth of computer vision, a number of machine learning techniques were predominantly proposed to segregate the malicious traffics from the benign traffic.

Although many machine algorithms have been enhanced to improve the detection rate of malicious traffic, most of the studies tend to perform their experiments on the benchmark datasets: KDDCup'99 (Stolfo et al., 2000) and NSL-KDD (Tavallaee et al., 2009). Several researchers (Ahmed et al., 2016; Mahoney & Chan, 2003; McHugh, 2000; Tavallaee et al., 2009) have critiqued the datasets as being outdated , since they were generated by the Defense Advanced Research Projects Office Agency (DARPA) for well over two decades ago.

Sommer and Paxson (2010) and Małowidzki et al. (2015) have pointed out that the lack of a recent representative publicly available NIDS datasets remains the biggest challenges in this domain. Following their comments, several NIDS datasets have been published, for instance, UNSW-NB15 (Moustafa & Slay, 2015) and CIDDS-001 (Ring et al., 2017) datasets have been made available online by the Australian Centre for Cyber Security and the University of Coburg. decades ago.

Sommer and Paxson (2010) and Małowidzki et al. (2015) have pointed of a recent representative publicly available NIDS datasets remains the sim this domain. Following their comments, several NIDS datasets h d,

In this paper, UNSW-NB15 (Moustafa & Slay, 2015) and CIDDS-001 (Ring et al., 2017) have been selected to be rigorously experimented as they contain modern attacks that are typically not observed in KDDCup'99. Both datasets mentioned are dedicated to tackle a different drawback by the previous benchmark KDDCup'99. Particularly, UNSW-NB15 complement for attacks which have low footprints by introducing 10 attack class (e.g., fuzzers, backdoors, exploits, reconnaissance, etc.) into the dataset (Moustafa & Slay, 2015), while CIDDS-001 contains a number of sophisticated attack scenarios associated with ping scanning, port scanning, brute force, and denial-of-service attacks (Ring et al., 2017). Additionally, GureKDDCup (Perona et al., 2016; Perona et al., 2008) is also chosen to be extensively experimented in this paper. Although GureKDDCup might not be the most recent NIDS datasets, considering it's

methodology in mimicking the generation process of the benchmark KDDCup'99, GureKDDCup is able to maintain most of the features from KDDCup'99 while furnishing the missing payload information, IP addresses, and port numbers in KDDCup'99. All the three datasets that have been selected contain labelled instances that is necessary for training and evaluating a machine classifier. We refer to Ring et al. (2019) for a more comprehensive review for NIDS datasets.

Instead of experimenting on portion of the dataset, we utilized the full version of GureKDDCup, UNSW-NB15, and CIDDS-001. In general, there are two dominant reasons as to why the full version of these datasets are yet to be thoroughly examined. The primary reason is due to the enormous size of the datasets that would require a tremendous amount of computation resources that can lead to a very long processing time (Masduki & Ramli, 2016). Secondly, the full version of these datasets has not been partitioned into training and testing distribution by the original authors (Ring et al. 2019). This would imply that the researchers will not be able to fairly evaluate the performance of their classifiers alongside with other related work as each of us might have a distinct subset of train-test partitioned that tries to maximize the evaluation metrics (e.g., classification accuracy, detection rate, etc.). In practical, enormous volume of network traffics are generated every second, hence, classifiers adopted should be adequately competent to cope with the massive amount of network traffics. To prove the practicality of the machine classifiers, the full datasets are employed instead of the portion of the datasets. With the emerging trend of deep learning, huge amounts of data are necessary to be feed into the learning algorithms to build a high-performance algorithm $(Ng, 2015)$. By conducting the experiments on the full version of datasets with machine classifiers, we have set forth a baseline performance for comparison purposes in the future. nstead of experimenting on portion of the dataset, we utilized the full v
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Before evaluating the models, it is necessary to decide upon the type of sampling

procedure to gauge the performance of machine algorithms. In general, a direct train-test evaluation scheme can be utilized if the datasets have been separated into a pre-defined split of training and testing distributions. However, training and testing sets are often not found in the full version of NIDS datasets, as they are simply not pre-distributed by the creators of the datasets. In the absence of train-test distribution, tenfold cross-validation (Kohavi, 1995) is an alternative approach which can be used to deliver unbiased and fair comparisons. While tenfold cross-validation have been recognized as a renowned evaluation scheme in multiple domains, such approach has deemed to be unsuitable in this domain, whereby the models will yield an over-realistic experimental results (Al Tobi & Duncan, 2019) and the possibility of leakage of test data into the training distribution (Ring et al., 2019). Thus, a distinct resampling scheme – rolling-origin, that is commonly use in the forecasting domain is adopted in this paper to avoid the biasness of cherry picking the training and testing distribution. Through some background studies, we noticed the lack of empirical experiments and results, specifically on the full version of the datasets. Hence, 10 distinct notable machine learning classifiers are utilized in this paper to empirically evaluate the aforementioned datasets. on scheme in multiple domains, such approach has deemed to be unst
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The main contribution present in this paper are as follows: (i) the usage of rollingorigin resampling technique to partition the train-test distribution of the three NIDS datasets, (ii) the datasets cleaning and pre-processing procedure for the three NIDS datasets, and (iii) the utilization of 10 well-known classifiers to set forth a baseline empirical result. In Section 2, related experiments conducted on GureKDDCup, UNSW-NB15, and CIDDS-001 NIDS datasets are reviewed. As this section is meant to examine the concerns when adopting the datasets, the proposed technique in each of the literatures will not be deliberated extensively. The selection of the 10 machine learning classifiers and the rolling-origin evaluation approach is discussed in Section 3. Section 4 provides datasets cleansing procedure and the experimental results for the 10 machine algorithms on the three NIDS datasets. Lastly, Section 5 concludes the work.

2 Background Study

Most benchmarking papers that has been published aim to deliver a baseline performance predominantly for KDDCup'99 and NSL-KDD. Kayacık and Zincir-(Heywood 2005) employed K-means clustering and neural network algorithm on KDDCup-99 and two private NIDS datasets. In the work performed by Patil and Pattewar (2014), they compare the performance of AdaBoost against seven machine classifiers on KDDCup-99 and NSL-KDD. Divekar et al. (2018) have selected six classifiers to provide the benchmark experimental results on KDDCup'99, NSL-KDD, and UNSW-NB15. In their experimental studies, they utilized feature selection technique such as SMOTE oversampling (Chawla et al., 2002) and random undersampling on the datasets before delivering it to the six classifiers. It should be noted that the authors use the smaller version of UNSW-NB15 instead of the full version. Al Tobi and Duncan (2019) employed support vector machines, random forest and decision tree with threshold adaption and SMOTE feature selection to improve the detection rate on GureKDDCup, STA2018 (generated from ISCX dataset) (Shiravi et al., 2012) and two other synthetic datasets. Although the full version of GureKDDCup is employed, the evaluation strategy and sampling technique are slightly different from the work we proposed in this paper. For both of the studies (Al Tobi & Duncan, 2019; Divekar et al., 2018), feature selection is performed before evaluating the machine classifiers. VIDS datasets. In the work performed by Patil and Pattewar (2014), they
ormance of AdaBoost against seven machine classifiers on KDDCu_p
OD. Divekar et al. (2018) have selected six classifiers to provide the be
ontal resu

In general, the feature selection step is important to select the salient attributes and filter the unneeded, irrelevant and redundant attributes from the dataset to reduce the running time of a learning algorithm (Dash & Liu, 1997), improve the prediction performance (Guyon & Elisseeff, 2003), and simplify the models for easier interpretation (Bermingham et al., 2015). In this work, we do perform a simple filtering process where all redundant attributes were removed. However, we do not employ any feature selection techniques to automatically pick the "appeared to be important" attributes due to several reasons. First, we are not the domain expert to judge if the selected attributes do consequential in separating benign and malicious traffics. Furthermore, removing attributes will be harmful if all candidate attributes are equally relevant. Secondly, this step is omitted to avoid biasness towards certain classifiers so that the performances of each classifiers can be fairly judged (i.e., it is interesting to observe how a tree-based strategy can retrieve its own subset through the pruning process, etc.). It is also intentionally left out to avoid the feature subset selection bias because the performances of classifiers are evaluated using rolling-origin resampling method in this work. Thus, feature selection techniques are not utilized in this paper although it was employed in the previous studies conducted by Al Tobi & Duncan (2019) and Divekar et al. (2018). mitted to avoid biasness towards certain classifiers so that the perform
ssifiers can be fairly judged (i.e., it is interesting to observe how a tr
can retrieve its own subset through the pruning process, etc.). I
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While the work in the previous studies were interesting (Al Tobi & Duncan, 2019; Divekar et al., 2018), they do not include any exhaustive review on the full version of the GureKDDCup, UNSW-NB15, and CIDDS-001. Hence, detailed of past experiments performed on the three NIDS datasets are comprehensively explored.

2.1 Recent Dataset

For decades, KDDCup'99 (Stolfo et al., 2000) and NSL-KDD (Tavallaee et al., 2009) have been widely known as the benchmark datasets for the domain of NIDS. Considering their availability, many researchers have performed various classification task on the datasets (Ahmed et al., 2016). As the datasets were created way back in 1999, several literatures have criticized the datasets to be obsolete because it does not represent modern attack in the present (Ahmed et al., 2016; Mahoney & Chan, 2003; McHugh, 2000; Tavallaee et al., 2009). To resolve the issues raised, several NIDS datasets have been published recently. For instance, GureKDDCup (Perona et al., 2016; Perona et al., 2008), UNSW-NB15 (Moustafa & Slay, 2015) and CIDDS-001 (Ring et al., 2017) datasets have been made publicly available in conducting intrusion detection relevant tasks. Though some time have passed since the datasets have been made to be accessible publicly, only limited studies and experiments have been carried out on the aforementioned datasets. Particularly, the full version of the datasets has yet to be explored extensively due to the enormous amount of data, leading it to the computational resource dilemma (Elhag et al., 2017; Masduki & Ramli, 2016). In this section, discussions are focused predominantly on the experiments that have been conducted on GureKDDCup, UNSW-NB15, and CIDDS-001 datasets. As the primary intention of this section is to investigate the traintest data distribution and the approach undertaken to evaluate the models using the datasets, the proposed technique and classification accuracy in each of the literatures will not be deliberated in detailed since they are not the main concern in this study. e et al., 2010). To resolve the issues raised, several NIDS datasets had recently. For instance, GureKDDCup (Perona et al., 2016; Perona et a NB15 (Moustafa & Slay, 2015) and CIDDS-001 (Ring et al., 2017) data de publicly

2.1.1 GureKDDCup

In 2008, GureKDDCup (Perona et al., 2016; Perona et al., 2008) dataset was generated according to the same process as KDDCup'99 but it additionally includes each pair of IP addresses, pair of port numbers, and a few new attacks that are not found in KDDCup'99. Apart from the full version GureKDDCup dataset, the creators of GureKDDCup also released a smaller version (6 percent) extracted from the entire GureKDDCup dataset.

While GureKDDCup was published more than 10 years ago, GureKDDCup dataset contains a much richer and cleaner features describing each connections in comparison towards KDDCup'99 (Stolfo et al., 2000). Additionally, creators of the datasets prepared the data in a way that it is suitable to be used for machine classification tasks further motivates us to investigate the datasets with various machine classifiers.

Table 1 summarizes all the experiments performed on the GureKDDCup dataset. Referring to the table, it can be observed that most of the researchers employed the 6 percent GureKDDCup version in their experimental settings except Al Tobi and Duncan (2019). Besides, it can also be seen that there are no standard schemes for allocating the percentage of train-test data and evaluating the models. Fine GuteRDDCup was published more than 10 years ago, Guter
contains a much richer and cleaner features describing each connection
prepared the data in a way that it is suitable to be used for machine class
ther motivates

Al Tobi and Duncan (2019) adopted the prospective sampling method (File-to-File) in evaluating C5.0, random forest, and SVM. For example, the model is trained on File 1 and tested on File 2, 3 and 4; or being trained on File 2 and evaluated on File 1, 3 and 4. Although their work utilizes the full version of the dataset, the sampling and evaluation methods employed are completely different than the methodology proposed in this paper.

Author(s)	Technique	Dataset(s)	#Train	#Test	#Class	Acc. $(\%)$
Sahu and Jena (2014)	K-means Clustering	6% GureKDDCup	160,904	n/a	\mathfrak{Z}	83.9
Abas et al. (2015)	Feature Selection + r-chunk Artificial Neural Network (ANN)	6% GureKDDCup	n/a	500	$\overline{2}$	n/a
Sahu and Jena (2016)	Multi-Class Support Vector Machine Classifier (MSVM)	GureKDDCup	160,904	178,810	28	99.146
Ikram and Cherukuri (2016)	$PCA + SVM$ with Automated Parameter Selection	10% GureKDDCup	n/a	10 CV	28	$DR =$ 0.999
						$DR =$
						99.9735
Masduki and Ramli (2016)	Feature Selection + SVM for R2L and DoS class	10% GureKDDCup	90%	10%	$\overline{2}$	(DoS)
						$DR =$ 99.0297 (R2L)
Zhu et al. (2017)	Feature Selection with I-NGSA-III	6% GureKDDCup	n/a	10 CV	5	$DR =$ $99.62 \pm$ 0.17
Elhag et al. (2017)	Fuzzy Association Rule Mining with Multi- Objective Evolutionary Algorithm (FARC-HD with NGSA-II)	6% GureKDDCup	10% (17, 911)	90% (160, 862)	5	78.8
Jabbar et al. (2017)	K -mean $+$ ADTree with K- Nearest Neighbour (KNN)	6% GureKDDCup	n/a	10 CV	$\overline{2}$	99.93
Wang et al. (2017)	Logarithm Marginal Density Ratios Transformation- Support Vector Machines (LMDRT-SVM)	6% GureKDDCup	n/a	10 CV	$\overline{2}$	99.18

Table 1: Summary of the Experiments Conducted on GureKDDCup

DR – Detection Rate; CV – Cross-Validation;

G-Acc. – G-mean Accuracy; F1 – F1-Score Not available (n/a) – not mentioned by author(s)

2.1.2 UNSW-NB15

Cyber Range Lab of the Australian Center for Cyber Security (ACCS) released the UNSW-NB15 dataset in 2015 to complement the lack of modern network traffic (Moustafa & Slay, 2015). Based on the original documentation, the full version of the dataset encompassed of 2,540,044. Due to the huge amount of instances, the authors also prepared a smaller version of the dataset containing predefined split of train-test distribution with the redundant records being discarded in both the training and testing sets (Moustafa & Slay, 2016). It should be noted that the smaller version does not include 5 features (scrip, sport, dstip, stime and ltime) that are found in the full version (Al-Zewairi et al., 2017; Janarthanan & Zargari, 2017). Additionally, Al-Zewairi et al. (2017) have verified that the full version of UNSW-NB15 contains three extra instances, leading to a total of 2,540,047 instances. Exection Rate;
 $\frac{1}{2}$ - G-mean Accuracy; $\frac{1}{2}$ - T-1.5Sove valuations,
 $\frac{1}{2}$ - G-mean Accuracy; $\frac{1}{2}$ F1 - F1-Sove
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All of the research experiments conducted on the UNSW-NB15 are tabulated in Table 2. Aside from Al-Zewairi et al. (2017) which adopted the full version of the dataset to evaluate their model, other literatures employed the smaller version of the dataset that have been partitioned by the creators. Similar to the examination in Section 2.1.1 on GureKDDCup, various train-test distributions and evaluation methods can also be observed from Table 2.

Author(s)	Technique	Dataset(s)	#Train	#Test	#Class	Acc. $(\%)$
Guha et al. (2016)	Feature Selection with Genetic Algorithm $+$ Artificial Neural Network	Part of UNSW- NB15	n/a	119,747	9	95.46
Gharaee and Hosseinva nd (2016)	Feature Selection with Genetic Algorithm $+$ Support Vector Machine (GF- SVM)	UNSW- n/a n/a NB15		10 [°]	91.22 (DoS)	
Moustafa and Slay (2017)	Feature Selection + Association Rule Mining + Logistic Regression	Part of UNSW- NB15	175,341	82,332	$\overline{2}$	83.0
Timčenko and Gajin, (2017)	Bagged Tree	UNSW- NB ₁₅	40,000	5 CV	10	$DR = 92.6$ (DoS)
Baig et al. (2017)	Cascade of Boosting-based Artificial Neural Network (CANID)	Part of UNSW- NB15	82,232	175,341	$\overline{2}$	86.4
$Al-$ Zewairi, Almajali, and Awajan (2017)	Multilayer Feedforward Artificial Neural Network	Full UNSW- NB15	60% (Train) 10% (Validation)	30%	\overline{c}	98.99
Belouch et al. (2017)	Feature Selection + REPTree	Part of UNSW- NB ₁₅	175,341	82,232	\overline{c}	88.95
Benmessah el et al. (2017)	Multiverse Optimiser + Artificial Neural Network	Part of UNSW- NB ₁₅	175,341	82,232	\overline{c}	99.61
Idhammad et al. (2017)	Artificial Neural Network-based DoS Detection Method (ADDM)	Part of UNSW- NB ₁₅ (109370) instances)	60%	40%	$\mathfrak{2}$	97.1

Table 2: Summary of the Experiments Conducted on UNSW-NB15

DR – Detection Rate; CV – Cross-Validation; Not available (n/a) – not mentioned by author(s) Part of UNSW-NB15 – train-test split by original author (Moustafa and Slay 2016)

2.1.3 CIDDS-001

CIDDS-001 (Coburg Intrusion Detection Dataset) dataset was created by emulating a small business environment encompassed of internal servers with OpenStack environment and external servers (Ring et al., 2017). To generate a realistic network traffic, user activities and behaviors are simulated with scripts by taking consideration of the working hours and styles. Besides, several distinct types of attacks have also been initiated and labelled by the authors. In order to capture up-to-date real traffic, the external servers are set up on the Internet, thereby, allowing the servers to be accessed publicly.

Table 3 compiles most of the recent experiments conducted on the CIDDS-001 dataset. As the dataset have not been partitioned into training and testing data by the authors, most of the studies are performed with different distribution and validation methods. Akin to the observations in GureKDDCup and UNSW-NB15 datasets, the allocation of train-test data and the validation strategy also vary from literature to literature.

Author(s)	Technique	Dataset(s)	#Train	#Test	#Class	Acc. $(\%)$
Elmasry et al. (2019)	Particle Swarm Optimisation + Deep Belief Network	$5%$ of CIDDS-001	800,000	800,000	5	94.66
			146,500	10 CV	$\overline{2}$	99.99
Tama and Rhee (2017)	Deep Neural Network	CIDDS-001 (146500) instances)	146,500	5 x 2CV	\overline{c}	99.99
			70%	30%	$\overline{2}$	99.99
Idhammad et al. (2018b)	Naïve Bayes Anomaly Detection + Random Forest in Cloud Environment	OpenStack CIDDS-001 $(\overline{ \text{W}} \text{eek } 1)$ (8451520) Instances)	60%	40%	$\overline{4}$	97
Althubiti et al. (2018)	LSTM with rmsprop optimizer	External Server CIDDS-001	67% (449, 731)	33% (221, 510)	5	84.83
Idhammad et al. (2018a)	Statistical Network Entropy Anomaly Detection + Random Forest Classification for DDoS Attacks	CIDDS-001 $(1st$ Day of Week 1) (1501857) Instances)	60%	40%	$\overline{2}$	99.54%

Table 3: Summary of the Experiments Conducted on CIDDS-001

DR – Detection Rate; CV – Cross-Validation;

Not available (n/a) – not mentioned by author(s)

2.2 NIDS Dataset Information

To further substantiate the observation in Section 2.1, the total number of instances contained in each of the aforementioned NIDS datasets are summarized in Table 4. From the table, it can be clearly seen that most of the datasets do not include a predefined split of training and testing data except 10% KDDCup'99, NSL-KDD, and part of UNSW-NB15. It should be noted that the filename for 'part of UNSW-NB15' dataset have been named incorrectly, whereby the train file and test file is named inversely.

	hanned incorrectly, whereby the train fire and test fire is hanned inversely.			
Table 4: Summary of Datasets Information Dataset(s)	Filename	Total Instances	#Train	#Test
KDDCup'99	KDDCup99 full.arff	4,898,430		
10% KDDCup'99	KDDCup99.arff [Train] KDDCUp corrected testing data (filename: corrected [Test])	805,049	494,020	311,029
NSL-KDD	KDDTrain+.csv [Train] KDDTest+.csv [Test]	148,516	125,973	22,543
6% GureKDDCup	gureKddcup6percent.arff	178,810		
Full GureKDDCup	Multiple File of gureKddcup-matched.list	2,759,494		
Part of UNSW-NB15 (Train-test file named inversely)	UNSW_NB15_testing-set.csv [Train] UNSW NB15 training-set.csv [Test]	257,573	175,341	82,232
Full UNSW-NB15	All UNSW-NB15	2,540,047		

Table 4: Summary of Datasets Information

2.3 Discussions on the NIDS Datasets

As accentuated by Bamakan (2017), direct comparison of experimental results are not practical in most cases because it is an exhaustive task whereby consideration should be given on the pre-processing steps, experimental settings, sampling methods, evaluation metrics and etc. It is not even reasonable to directly compare the empirical results when the training and testing distribution is entirely different. As shown in Table $1 -$ Table 3, most of the experiments adopted a distinct set of distribution for building and evaluating the models. Additionally, the number of instances engage in some of the experiments are relatively small when compared against the total instances, as deliberated in Table 4.

Masduki and Ramli (2016) pointed out that it is computationally expensive to evaluate the models on the full version of NIDS datasets as it required very long processing time due to the massive number of instances found in each of the datasets. Besides, Elhag et al. (2017) mentioned that the scalability of current models might not be able to handle such enormous amount of data. Due to these reasons, limited studies have been performed using the full version of GureKDDCup, UNSW-NB15 and CIDDS-001. Hence, the full version of these datasets will be extensively experimented in this paper to complement the lack of experimental results. Els. Additionally, the number of instances engage in some of the experiments adopted a distinct set of distinction for outlining and elsements.
Very small when compared against the total instances, as deliberated in Tal an

While tenfold cross-validation (Kohavi, 1995) have been proven as one of the prominent evaluation techniques to provide fair results for comparison purposes, Ring et al. (2019) described that such approach might not be feasible in the case of intrusion detection. They justified that tenfold cross-validation is not suitable to be adopted in the domain of NIDS because there is a possibility that some of the testing data (e.g., flow of port scan) might be found in the training data during the splitting process of crossvalidation. By using CIDDS-002 dataset as an example, they recommended to build the model by employing week one data while the data from week two is utilized in evaluating

the model (and vice versa). On the other hand, the work by Al Tobi and Duncan (2019) revealed that the over-optimistic empirical results obtained from using cross-validation would not represent the genuine performance of the detection models in realistic scenarios. Thus, a distinct approach is utilized in this paper and the approach will be described thoroughly in Section 3.

3 Methodology

3.1 Rolling-origin Evaluation

By considering the latent interactivities and relationships between the network traffic, an amass of data instances are considered to be sequential, and they often exhibit some form of temporal properties (Bergmeir & Benítez, 2012). On this account, the standard and favored tenfold cross-validation (Kohavi, 1995) would not appropriate to be adopted in such setting, as it would undermines the temporal dependencies of the instances. In a typical forecasting task, training data should not contain future observations preceding the time step of the testing instances. Likewise, any observation prior to the timelines of the training set should not be included in the respective testing set (Hyndsight, 2016). Considering the domain of NIDS, the unsuitability of tenfold cross-validation is further substantiated by several literatures in Section 2.3.

As shown in Table 4, the train-test distribution of the selected datasets has not been predefined by the author(s). Thus, rolling-origin (Tashman, 2000) is employed in this paper, which is commonly adopted in forecasting tasks as an alternate crossvalidation technique (Bergmeir & Benítez, 2012). Instead of adopting the standard practice to use a single distribution (e.g., a day) as the test set, all remaining data not utilized in training the model are employed. This seems to be a better approach as the majority of the forecasting tasks (e.g., stock market prediction) focuses on anticipating certain outcome (e.g., stock value) on a particular day, week or month in the future, as depicted in [Figure 1.](#page-22-0) However, in the case of network intrusion detection, the data instances are not constrained to only a single point in time, but are unfolded indefinitely. Hence, the original rolling-origin evaluation is improvised as such: At any given fold, data instances (in the later time steps) that are yet to be used in training the model, are all adopted as the testing instances for the particular fold as shown in Figure 2. By using the ting, as it would undermines the temporal dependencies of the instant ore casting task, training data should not contain future observations p
step of the testing instances. Likewise, any observation prior to the tim
ing s

improvised rolling-origin approach, both the training and testing data are distributed accordingly to the number of weeks available in the datasets (as shown in [Table 7,](#page-26-0) [Table](#page-27-0) [10](#page-27-0) and [Table 13\)](#page-29-0). It is also worth mentioning that, for each of the train-test distributions, the machine model is required to be recalibrated and rebuilt in order to deliver the empirical results.

Figure 1: 1-step-ahead Rolling-origin Evaluation (Redrawn from (Hyndsight, 2016))

Figure 2: Improvised Rolling-origin Evaluation

3.2 Selected Classifiers for Evaluation

Weka stable version 3.8 is used throughout the experiments. ZeroR, Random Tree, REPtree, Decision Stump (Iba & Langley, 1992), Adaboost (Freund & Schapire, 1996), Bayesnet (Pearl, 1985), Naïve Bayes (John & Langley, 1995), Random Forest (Breiman, 2001), SMO (better known as Supper Vector Machine) (Vapnik & Lerner, 1963) and J48 (better known as C4.8) (Quinlan, 1993) are used to evaluate the performances of each dataset. These 10 classifiers are selected because they are composed of varying types of machine learning techniques, which includes: (i) classifiers for measuring the lowest acceptable performance, e.g.: ZeroR, (ii) base learner (weak learner) which are used as building blocks for ensemble techniques, e.g.: Random Tree and Decision Stump, (iii) ensemble classifiers, e.g.: Adaboost (boosting) and Random Forest (bagging), (iv) probabilistic model, e.g.: Naïve Bayes and Bayesnet, and (v) non-probabilistic model, e.g.: SMO. Additionally, C4.5 decision tree (J48), support vector machine (SMO), Adaboost, and Naïve Bayes are recognized to be few of the most notable and influential data mining algorithms (Wu et al., 2008). These To enassines are selected oceanse they are composed of varying
learning techniques, which includes: (i) classifiers for measuring th
le performance, e.g.: ZeroR, (ii) base learner (weak learner) which are
blocks for

4 Experiments

4.1 Experimental Setup

In this section, experiments with different machine classifiers are conducted on the computing platform with an eight-core 3.64 GHz AMD Ryzen 7 1700 CPU and 64 GB RAM running on Windows 10. To avoid the biasness resulted from the cherry-picked training and testing data distribution elaborated in Section 2.3, the experimental evaluation is performed based on the distribution as described in Section 3.1. The 10 mentioned classifiers from Weka stable version 3.8 mentioned in Section 3.2 are adopted to deliver the empirical results. Attributes and class labels are intentionally not (minimal)

modified in this paper in order to conduct a fair comparison between classifiers.

4.2 Datasets Selection

Details and surveys for most of the NIDS datasets were greatly disclosed in (Bhuyan et al., 2014; Mishra et al., 2018; Nicholas et al., 2018). To obtain the empirical results, several experiments have been performed on three publicly available NIDS datasets: GureKDDCup (Perona et al., 2016; Perona et al., 2008), UNSW-NB15 (Moustafa & Slay, 2015), and CIDDS-001 (Ring et al., 2017). The three aforementioned datasets are preferred over the benchmark NIDS datasets as the datasets contain a more recent network traffic and attacks which could better represent the current state of network traffics. The datasets adopted in this paper are summarized in Table 5. It should be noted that GureKDDCup was initially generated and made known to the public in 2008, while the full documentation for the procedure to generate the dataset was released in 2016. and CIDDS-001 (Ring et al., 2017). The three aforementioned data

al over the benchmark NIDS datasets as the datasets contain a mot

traffic and attacks which could better represent the current state of

The datasets adop

Table 5: Summary of Experimental Datasets

Datasets	#Used Instances	Original Attributes (include Class)	#Used Classes	Year Released
Full GureKDDCup	2,759,494	48	36	2008 (2016)
Full UNSW-NB15	2,540,047	49 (raw) 48 (processed)	10	2015
CIDDS-001	18,762,253	12 (raw) 16 (processed)		2017

4.3 Datasets Pre-processing

To fairly evaluate the performance of each machine learner, no (minimal) data cleansing and pre-processing are performed against the datasets employed in order to conform to the different requirements of varying classifiers. Each of the description and preprocessing steps for the datasets are thoroughly explained in Sections 4.3.1, 4.3.2, and 4.3.3.

4.3.1 GureKDDCup

GureKDDCup (Perona et al., 2016; Perona et al., 2008) was released in 2008 to complement the drawback of the KDDCup'99 (Stolfo et al., 2000) NIDS datasets. As KDDCup'99 is more than decades old, researchers have deemed the datasets to be obsolete in reflecting the present network traffics (Ahmed et al., 2016; Catania & Garino, 2012). GureKDDCup was generated by utilizing the similar data collecting approach as of KDDCup'99. The dataset contains the network traffic data collected over a period of seven weeks, from Monday to Friday (five days per week). Additionally, the creators of GureKDDCup have included several new attacks that are absent in KDDCup'99. Full version of GureKDDCup dataset are compressed into a 9.3GB file (gureKddcup.tar.gz). The file size is tremendously huge due to the added payloads of each of the network traffic. However, only the daily logs found in their respective gureKddcup-matched.list are used for the experiment conducted. Each of the daily logs are concatenated and merged into a single file containing a week of network traffic. [Table 6](#page-25-0) shows the total number of instances available in each week while Table 7 presents the distribution of training and testing data in accordance to the number of weeks. No data cleansing and attribute reduction are necessary to be performed against GureKDDCup dataset. ecks, from Monday to Friday (five days per week). Additionally, the er
DCup have included several new attacks that are absent in KDDCup
of GureKDDCup dataset are compressed into a 9.30
dcup.tar.gz). The file size is tremen

Week	#Instances
	177,910
$\overline{2}$	188,790
3	288,369
4	113,946
5	604,303
6	951,361
$\overline{7}$	434,815
total	2,759,494

Table 6: Number of Instances for GureKDDCup in Each Week

Training Group	Testing Group
	$2 - 7$
$1 - 2$	$3 - 7$
$1\sim3$	$4 - 7$
$1 - 4$	$5 - 7$
$1\sim5$	$6 - 7$
$1 - 6$	

Table 7: Train-Test Data Distribution for GureKDDCup

4.3.2 UNSW-NB15

Moustafa and Slay (2015) released the UNSW-NB15 dataset in 2015 to complement for the lack of low footprint attacks in KDDCup'99 (Stolfo et al., 2000). Although the original documentation stated a total number of 2,540,044 instances, an additional instance has been verified and found in all three of the data set files, leading to a total of 2,540,047 instances (Al-Zewairi et al., 2017). As shown in Table 8, some data cleansing is unavoidable, it is necessary to be conducted against the dataset before building the machine classifier. In the raw UNSW-NB15 dataset, some of the values for the *state* and *service* attributes are denoted as '-'. It can be assumed that these values are referring to the missing values since the data owner fails to provide them. As Weka processed missing values as '?' instead of '-', all the raw data containing '-' values are changed to '?'. The *label* attribute consisting of binary class {*normal, attack*} is also discarded, and the *attack_cat* containing 10 different classes is subsequently employed as the class label. [Table 9](#page-27-1) tabulates the number of instances containing in each file while [Table 10](#page-27-0) provides the distribution of train-test data. a and Slay (2015) released the UNSW-NB15 dataset in 2015 to comple
of low footprint attacks in KDDCup'99 (Stolfo et al., 2000). Alth
documentation stated a total number of 2,540,044 instances, an a
has been verified and f

Attribute Name	Original Attribute	Modified Attribute Value		
state		$\overline{\cdot}$		
service		$\overline{\mathcal{L}}$		
	NaN	Normal		
attack cat	Backdoors	Backdoor		
label	(ALL)	Drop		
		?		
	0xc0a8	49320		
	0x000b	11		
sport / dport	0x000c	12		
	0x20205321	?		
	0xcc09	52233		

Table 8: Data Pre-processing for UNSW-NB15

Table 9: Number of Instances for UNSW-NB15 in Each File

	UXUUUC	12				
	0x20205321	$\overline{?}$				
	0xcc09	52233				
Table 9: Number of Instances for UNSW-NB15 in Each File						
File		#Instances				
1		700,001				
$\overline{2}$		700,001				
3		700,001				
$\overline{4}$		440,044				
Total		2,540,047				
Table 10: Train-Test Data Distribution for UNSW-NB15						
Training Group		Testing Group				
$\mathbf{1}$		$2 - 4$				
$1 - 2$		$3 - 4$				
$1\sim$ 3		Δ				

Table 10: Train-Test Data Distribution for UNSW-NB15

4.3.3 CIDDS-001

CIDDS-001 (Ring et al., 2017) dataset is publicly available since 2017. The dataset contains a total of 32 million flows, whereby 31 million from the emulated internal environment (OpenStack); and 0.7 million from the external traffic consisting of real traffics from the internet. External traffics have been excluded from the experiment

conducted in this paper due to its reduced precision in ground truth, and absence of certain simulated attacks. The full CIDDS-001 OpenStack internal traffics is employed in the experimental procedure. Table 11 shows some of the data cleansing process necessary to be performed on the dataset. The entire *Flows* attribute was removed from the dataset as it contains only a single constant value for all instances (Nicholas et al. 2018). In the case of *Flags*, it has been categorized into five distinct *Flag* in accordance to their value. Three of the decimal values in destination port (*dst_pt*) are converted to '0' as they represent the ICMP error messages instead of the port. The IP addresses are modified in such a way that it won't collides with other IP addresses and matches the dot delimiters of IP addresses. The total number of instances for each week are tabulated in Table 12, while the training and testing data distributions are presented as shown in Table 13. Since the instances in Week 3 and 4 only encompassed of normal traffics, it is reasonable to exclude them from the experiments. Besides, as timestamp attribute (*date_first_seen*) is not supported by Bayesnet, Naïve Bayes, and SMO models, it is removed before building the aforementioned classifiers. P e[r](#page-28-0)ror messages instead of the port. The IP addresses are modified in su

subset of the port. The IP addresses and matches the dot delimite

S. The total number of instances for each week are tabulated in Table

ing and

Attribute Name	Original Attribute Value	Modified Attribute Value		
<i>Bytes</i>	(M)	Multiply (Bytes) with 100000		
<i>Flows</i>	(ALL)	DROP		
Attacktype		normal		
dst pt	3.1, 3.2, 3.4	θ		
		Flag A		
		Flag P		
Flags	APRSF	Flag R		
		Flag S		
		Flag F		
IP Address	DNS	1000.1000.1000.1000		
$(Src$ IP Addr /	EXT SERVER	2000.2000.2000.2000		
Dst IP Addr)	(Anonymised IP)	3000.3000.3000.3000		

Table 11: Data Pre-processing for OpenStack CIDDS-001

Week	#Instances
	8,451,520
◠	10,310,733
	6,349,783
4	6,175,897
total	31,287,933

Table 12: Number of Instances for OpenStack CIDDS-001 in Each Week

Table 13: Train-Test Data Distribution for OpenStack CIDDS-001

4.3.4 Discussions on Datasets Class Distribution

As mentioned in Section 4.1, experiments performed in this paper attempts to retain the features and class labels as close as possible to the original datasets to provide a baseline empirical results for future comparison. However, we would like to highlight the concern of imbalance classes in each of the datasets selected and provide some recommendation to be considered for future experiment settings. For instance, the class distributions for each dataset are summarized in Table 14 (GureKDDCup, Table 15 (UNSW-NB15), and Table 16 (CIDDS-001). Training Group

1
 $\frac{2}{3}$

Scussions on Datasets Class Distribution

ioned in Section 4.1, experiments performed in this paper attempts to to

and class labels as close as possible to the original datasets to provide a

GureKDDCup that imitates the generation process of KDDCup'99 attempts to preserve as much class labels that are available in KDDCup'99. Referring to Table 15, a total of 36 classes are found and it can be observed that several classes contain only a small proportion compared to the number of instances in the entire dataset. To improve the performance of the models, the class labels can be commonly redistributed into two classes – benign and anomaly (Kanakarajan & Muniasamy, 2016; Nicholas et al., 2018), or five classes – benign, DoS, user to root (U2L), remote to local (R2L), and Probes (Bouzida & Cuppens, 2006; Nicholas et al., 2018).

On the other hand, UNSW-NB15 contains 10 classes while CIDDS-001 contains only 5 classes. Similarly, the class label in UNSW-NB15 and CIDDS-001 can also be reclassified according to the suggestion made for GureKDDCup. Alternatively, the classification models can also be designed to only detect a specific attack by training the models using only the specific attack sample. To avoid the datasets to immensely skewed towards the normal class, all normal class samples can be excluded for all three of the datasets in the future.

To reiterate, we would like to emphasize that the primary objective of the empirical results presented in this paper are to be used as a baseline results in the future to evaluate the performance for any modification or enhancement in terms of features selection, model enhancement, class redistribution etc. For instance, if the classification results of the models after any feature selection or classes redistribution achieve a superior performance than the empirical results presented in this paper, this would imply that the procedures adopted are able to improve the model. Contrarily, the enhanced models would denote an insignificant improvement in the scenario whereby the model's classification performance is poorer than the baseline results exhibited in this paper. Hence, we aim to minimize the modification performed on the datasets to preserve the originality. To reiterate, we would like to emphasize that the primary objectivel
I results presented in this paper are to be used as a baseline results in t
ate the performance for any modification or enhancement in terms of
I, model

Week	$\mathbf{1}$	$\boldsymbol{2}$	3	$\overline{\mathbf{4}}$	5	6	7	Total
Attack Type								
normal	15	985	203,815	800	449,092	257,406	217,743	1,129,856
anomaly	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\overline{2}$	$\overline{4}$	$\overline{2}$	$\mathbf{0}$	9
back	$\mathbf{1}$	$\overline{3}$	198	1798	$\boldsymbol{0}$	100	148	2,248
dict	$\boldsymbol{0}$	$\mathbf{1}$	8	41	$\boldsymbol{0}$	829	$\boldsymbol{0}$	879
dict simple	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\mathbf{0}$	$\mathbf{1}$
eject	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\overline{7}$	$\boldsymbol{0}$	$\overline{2}$	$\mathbf{1}$	11
eject-fail	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$
ffb	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	6	$\boldsymbol{0}$	$\overline{2}$	$\mathbf{1}$	10
ffb clear	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\mathbf{0}$	$\mathbf{1}$
format	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\overline{2}$	$\overline{3}$	$\mathbf{0}$	6
format clear	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\overline{0}$	$\mathbf{1}$
format-fail	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\overline{1}$	$\boldsymbol{0}$	$\mathbf{1}$
ftp-write	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\mathbf{1}$	$\overline{2}$	$\overline{4}$	$\mathbf{0}$	8
guest	$\boldsymbol{0}$	$\mathbf{1}$	$\overline{7}$	17	$\overline{4}$	21	$\mathbf{0}$	50
imap	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	$\overline{4}$	$\boldsymbol{0}$	$\overline{7}$
ipsweep	$\mathbf{1}$	49	1,950	510	7,633	4,851	766	15,760
land	$\boldsymbol{0}$	$\mathbf{1}$	$\overline{7}$	11	$\boldsymbol{0}$	10	6	35
load_clear	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\overline{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$
loadmodule	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\mathbf{1}$	$\overline{2}$	$\overline{3}$	$\mathbf{1}$	$\,8\,$
multihop	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	1	$\overline{4}$	$\overline{3}$	$\mathbf{0}$	9
neptune	177,889	186,706	72,676	98,627	128,516	656,629	205,600	1,526,643
nmap	$\mathbf{1}$	$\mathbf{0}$	49	1,945	$\mathbf{0}$	$\boldsymbol{0}$	$\mathbf{0}$	1,995
perl clear	$\boldsymbol{0}$	$\mathbf{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\mathbf{1}$
perlmagic	$\mathbf{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	1	$\boldsymbol{0}$	$\overline{2}$	$\mathbf{1}$	$\overline{4}$
phf	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\mathbf{1}$	$\overline{3}$	$\mathbf{0}$	5
pod	$\overline{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\overline{2}$	$\overline{2}$	5
portsweep	$\,1\,$	14	1,980	361	2,480	2,760	2,377	9,973
rootkit	$\boldsymbol{0}$	$\mathbf{1}$	6	$\overline{2}$	$\mathbf{1}$	17	$\overline{2}$	29
satan	$\,1$	101	1,986	5,491	6,538	10,406	6,888	31,411
smurf	$\mathbf{1}$	924	5,632	2,509	9,434	17,988	1,178	37,666
spy	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\overline{2}$
syslog	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\overline{2}$	$\mathbf{1}$	$\overline{4}$
teardrop	$\boldsymbol{0}$	$\mathbf{1}$	18	82	586	298	100	1,085
warez	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	$\mathbf{1}$
warezclient	$\mathbf{0}$	$\mathbf{1}$	29	1,719	$\boldsymbol{0}$	$\boldsymbol{0}$	$\boldsymbol{0}$	1,749
warezmaster	$\boldsymbol{0}$	$\mathbf{1}$	$\boldsymbol{0}$	8	$\boldsymbol{0}$	10	$\boldsymbol{0}$	19
Total	177,910	188,790	288,369	113,946	604,303	951,361	434,815	2,759,494

Table 14: Class Distribution for GureKDDCup in Each Week

File Attack Type	$\mathbf{1}$	$\mathbf{2}$	3	$\overline{\mathbf{4}}$	Total
normal	677,786	647,252	542,576	351,150	2,218,764
analysis	526	608	873	670	2,677
backdoor	534	370	759	666	2,329
dos	1,167	4,637	5,642	4,907	16,353
exploits	5,409	11,103	16,574	11,439	44,525
fuzzers	5,051	4,668	9,137	5,390	24,246
generic	7,522	27,883	118,198	61,878	215,481
reconnaissance	1,759	3,116	5,582	3,530	13,987
shellcode	223	324	593	371	1,511
worms	24	40	67	43	174
Total	700,001	700,001	700,001	440,044	2,540,047

Table 15: Class Distribution for UNSW-NB15 in Each File

Table 16: Class Distribution for CIDDS-001 in Each File

generic	7,522	27,883	118,198	61,878	215,481
reconnaissance	1,759	3,116	5,582	3,530	13,987
shellcode	223	324	593	371	1,511
worms	24	40	67	43	174
Total	700,001	700,001	700,001	440,044	2,540,047
Table 16: Class Distribution for CIDDS-001 in Each File					
Week Attack Type	$\mathbf{1}$	$\mathbf{2}$	$\mathbf{3}$	$\overline{\mathbf{4}}$	Total
normal	7,010,897	8,515,329	6,349,783 (not used)	6,175,897 (not used)	28,051,906
dos	1,252,127	1,706,900			2,959,027
portscan	183,511	82,407			265,918
pingscan	3,359	2,731			6,090
bruteforce	1,626	3,366			4,992

4.4 Evaluation Metrics

Empirical results are reported based on the classification accuracy, which is a standard performance evaluation metric used in the data mining community. As the NIDS classes are often imbalanced and are skewed towards the normal class, classification accuracy metric might not be sufficient to measure the effectiveness of a machine model (Tavallaee, 2011). Thus, detection rate and false positive rate are also included in the scope of experimental discussion to complement the drawback of classification accuracy. Additionally, both of the metrics are deemed as one of the most commonly used metrics in this domain to evaluate the performance of a NIDS model based on the survey conducted by Tavallaee (2011). The conventional confusion matrix of performance measurement is shown in Figure 3.

Classification accuracy, which is also known as the percentage of successful prediction are commonly adopted for gauging the overall performance of a classifiers. It can be formed from the confusion matrix as shown in Figure 3 as follows:

$$
Accuracy = \frac{TP + TN}{P + N}
$$
 (1)

Figure 3: Performance Measurement Confusion Matrix

Detection rate is the proportion of positive case (an intrusion or attack) correctly identified as an attack. In some literatures, detection rate can also be referred as true positive rate, recall, or sensitivity. The detection rate formula is expressed in Equation 2 as follows:

$$
Detection Rate = \frac{TP}{TP + FN}
$$
 (2)

False positive rate measures the proportion of negative case (a benign or normal traffic) incorrectly identified as an attack. The term false alarm rate or false acceptance rate can also be denoted to signify equivalent meaning as false positive rate. Equation 3 presents the formulation of false positive rate:

False Positive Rate =
$$
\frac{FP}{FP + TN}
$$
 (3)

4.5 Experimental Results

4.5.1 Classification Accuracy, Detection Rate, False Positive Rate

Empirical results obtained by the 10 machine models on the NIDS datasets are tabulated in Supplementary Table 1 (classification accuracy), Supplementary Table 2 (detection rate), and Supplementary Table 3 (false positive rate). As shown in Supplementary Table 1 – Supplementary Table 3, the performance of a model varies when a distinct set of traintest is supplied to the classifiers. the formulation of false positive rate:
 $False Positive Rate = \frac{FP}{FP + TN}$

False Positive Rate $= \frac{FP}{FP + TN}$

assification Accuracy, Detection Rate, False Positive Rate

al results obtained by the 10 machine models on the NIDS datasets are

In terms of classification accuracy, the best results for GureKDDCup obtained are as follows: 88.5261% – Naïve Bayes [Train:1; Test: $2~7$], 87.1651% – Random Tree [Train:1~2; Test:3~7], 87.2992% – SMO [Train:1~3; Test:4~7], and 88.1692% / 88.8412% / 99.9526% – J48 [Train: $1-4/1-5/1-6$; Test: $5-7/6-7/7$]. Among the 10 classifiers, Random Tree score the best average accuracy of 80.1090% in GureKDDCup. On the other hand, J48 models outperform the other classifiers in UNSW-NB15 while

Adaboost in CIDDS-001 datasets. To be specific, the J48 algorithm achieve an average accuracy of 96.6325% in UNSW-NB15 while Adaboost attained 96.8684% in CIDDS-001.

Detection rate obtained by the 10 classifiers are obviously very encouraging, as most of them managed to attain a relatively decent performance. However, by observing the detection rates attained in Supplementary Table 2, we noticed that the empirical values delivered by each of the classifiers are similar to the classification accuracies in Supplementary Table 1. After analyzing the number of normal instances in each of the datasets, we discovered that the distributions are greatly skewed towards the normal class across the three datasets, as shown in Table 17. Although there is only 40.9443% of normal instances in GureKDDCup, the number of classes are particularly large when comparing against UNSW-NB15 and CIDDS-001. As most of the NIDS datasets attempt to mirror the scenarios in real world application, they often include unseen attacks (classes) in the test set. Hence, this causes some of the attacks to be undetected by the models and subsequently leading to an unknown detection rate. entary Table 1. After analyzing the number of normal instances in ca
we discovered that the distributions are greatly skewed towards the non
e three datasets, as shown in Table 17. Although there is only 40.9
nstances in G

Table 17: Number of Normal Instances in Entire GureKDDCup, UNSW-NB15, and CIDDS-001

Datasets	#Number of Normal Instances	#Number of Total Instances	Ratio $(\%)$ of Normal : Total Instances	#Used Classes
GureKDDCup	1,129,856	2,759,494	40.9443	36
UNSW-NB15	2,218,764	2,540,047	87.3513	10
CIDDS-001	15,526,226	18,762,253	82.7523	

False positive rate empirical results are presented in Supplementary Table 3. In many cases, a high detection rate might indirectly result in a high false positive rate. However, Bayesnet is able to achieve a low false positive rate in GureKDDCup and UNSW-NB15 datasets while maintaining satisfactory detection rate (Supplementary Table 2). In particular, an average detection rate of 98.3662% with 0.0271% false positive rate in GureKDDCup [Train:1~6; Test: 7] and 94.3472% of detection rate with 0.0994 false positive rate in UNSW-NB15 [Train: $1 \sim 3$; Test: 4]. Conversely, all of the models provide a high false positive rate in CIDDS-001 except Adaboost that is capable to achieve a false positive rate of 4.5325% along with an eminent detection rate of 96.8684%.

Supplementary Table 4 summarizes the effectiveness of the machine classifiers on the three NIDS datasets. It can be observed that there is no universal classifier that excels across all problem domains, in which the highest accuracy is achieved by Bayesnet in GureKDDCup, J48 in UNSW-NB15, and Adaboost in CIDDS-001. Although Naïve Bayes (1.0413%) attain a lower false positive rate in comparison towards J48 (2.6059%), Naïve Bayes (79.5543%) detection rate is widely inferior when comparing against J48 (96.6325%) in UNSW-NB15. acce NIDS datasets. It can be observed that there is no universal class
ross all problem domains, in which the highest accuracy is achieved by
XDDCup, J48 in UNSW-NB15, and Adaboost in CIDDS-001. Althou₁
0413%) attain a

From Supplementary Table 1 – Supplementary Table 3, it is worth to note that the classification accuracy for SMO is denoted as 'n/a' (not available) when using GureKDDCup week 1~6 as training data and week 7 as testing data. This is due to the failed attempt of building the classification model even after running for 604,800 seconds (\sim) days) as tabulated in Supplementary Table 5. For comparison purposes in the future, the unknown accuracy, detection rate, false positive rate, and evaluation time for the aforementioned SMO model can follows the preceding results (GureKDDCup week 1~5 training data against week 6~7 testing data).

4.5.2 Build Time and Evaluation Time

Apart from the classification accuracy, computation time is also one of the vital aspects when considering the application in a real-world scenario. Computation time to build the models is tabulated in Supplementary Table 5 while the time taken to evaluate each of the models is summarized in Supplementary Table 6. By analyzing the results, we can observe that the computation time is acceptable for most of the classifiers except REPtree, Random Forest and SMO in some cases. To be specific, the time taken required to build the classifiers exceeds $86,400$ seconds (1 day) when it is train with week $1~6$ of GureKDDCup. For instance, REPtree took 87,704.84 seconds, Random Forest required 97,996.45 second, and SMO needed more than $604,800$ seconds (\sim 7 days) to completely build the models. Besides, we also noticed a fluctuation in terms of memory resources (RAM), whereby some of the classifiers consumed the entire 64GB RAM during the experimental procedure. Forest and SMO in solite cases. To do specific, the filter lateral required
siffers exceeds 86,400 seconds (1 day) when it is train with week
DCup. For instance, REPtree took 87,704.84 seconds, Random Forest
5 second, and

The huge consumption of computation time is speculated to be precipitated by the massive amount of discrete (nominal) IP feature, as shown in Table 18. It is worth to point out that most classifiers might treat each the features (e.g., source IP and destination IP) as a separate feature or entity during the building and classification process. Thus, the collision of similar IP address in both source and destination is also calculated in the number of unique source and number of destination IP. For example, if the source IP of "192.168.1.1" is also seen in the destination IP features, both the number of unique source and destination IP are increased by one. However, the assumption made on the effects of the high dimensionality IP features in affecting the computation time required further investigation and justification to corroborate the claim. In addition, this scenario may also possibly cause by the algorithm behind the machine classifiers. Hence, examination can also be carried out directly on each of the classifiers to investigate the reasons for the

classifiers to exhaust tremendous resources. As the primary objective of this paper is to deliver a baseline empirical results, in-depth discussions for each of the classifiers will not be deliberated.

Table 18: Number of Unique IP Address in Entire GureKDDCup, UNSW-NB15, and CIDDS-001

Datasets	#Number of Unique Source IP	#Number of Unique Destination IP	#Total Number of Unique IP Address
GureKDDCup	8.483	21,018	29,501
UNSW-NB15	43	47	90
CIDDS-001	38	790	828

4.5.3 Experimental Results of Cross-Validation

To substantiate the claim made in Section 2.3 regarding the inappropriateness of adopting cross-validation in the domain of NIDS, we have also performed the experiments employing the identical experimental setup, datasets, and evaluation metrics. Supplementary Table 7 presents the classification accuracy, detection rate, and false positive rate while Supplementary Table 8 tabulates the time taken evaluate the machine classifiers. $\frac{\text{NBI5}}{\text{NBI5}}$ 43 47 90
 $\frac{38}{38}$ 790 828
 Perimental Results of Cross-Validation

antiate the claim made in Section 2.3 regarding the inappropriateness of

lidation in the domain of NIDS, we have also performed

As accentuate by Al Tobi and Duncan (2019), cross-validation will yield an overoptimistic performance results. This claim is further corroborated by the experimental results shown in Supplementary Table 7. It can be observed that most of the classifiers achieve a remarkable boost in terms of performance. For instance, the classification accuracy attains by J48 decision tree achieved approximately 99% on all the three datasets when cross-validation is utilized. These over-optimistic empirical results might not be able to reflect the genuine performance of the classifiers.

Referring to Supplementary Table 8, we noticed the time taken required to evaluate the models is longer as compared to Supplementary Table 5 and Supplementary

Table 6. This observation is common as it is necessary to repeat the training and testing procedure for 10 times when 10 cross-validation sampling method is adopted. Theoretically, the time taken for building and evaluating the models are multiplied by 10. Akin to the observation in Section 4.5.2, a vast amount of computation time is required to evaluate the classifiers. It is also worth to mentioned there are more machine classifiers that are denoted with 'n/a' in Supplementary Table 7 and Supplementary Table 8 as it required an extensive span of time to complete the experiment. To be specific, random tree, REPtree, random forest, and SMO fails to be evaluated in GureKDDCup, while random forest and SMO fails to be evaluated in CIDDS-001. Although SMO have been successfully evaluated in UNSW-NB15, the time taken is considerable huge and it is not impractical in a real-world scenario. For instance, 2,159,708 seconds is needed to complete the 10 cycles of training and testing process. Similar to the observation in Section 4.5.2, we surmise that the vast amount of computation time might be also caused by the immense number of IP address features. Ptree, random forest, and SMO fails to be evaluated in GureKDDCt
forest and SMO fails to be evaluated in CIDDS-001. Although SMO h
ully evaluated in UNSW-NB15, the time taken is considerable huge and
cal in a real-world sc

4.5.4 Performance Compatibility with 5 Benchmark Feature Selection Techniques in UNSW-NB15

Though the main contribution of this paper is to provide a benchmark study of different classifiers, but it is also important to observe their compatibility with other feature selection techniques. A wise use of feature selection technique can definitely bring many benefits, i.e., removing the agitated noises and improving the classifiers' performance (Guyon & Elisseeff, 2003).

Thus, in this section, we would like to perform the same set of testing but with the additional usage of feature selection techniques, including Association Rule Mining (Moustafa & Slay, 2017), Information Gain (Janarthanan & Zargari, 2017), Principal

Component Analysis (Moustafa et al., 2018), Gain Ratio Filter (Anwer et al., 2018), and XGBoost (Kasongo & Sun, 2020) in UNSW-NB15 dataset. To provide a fair comparison among the feature selection techniques, we adopted the best-selected features in each of the studies and recomputes the results based on the identical experimental settings as described in section 4.1 and section 4.3.2.

UNSW-NB15 is selected to be rigorously experimented in this section because it contains the largest number of attributes (i.e., 49 features) among the three datasets. As the same experimental settings should be employed to fairly compares the classification performance, we utilized the *attack_cat* along with its 10 distinct classes as described in Section 4.3.2 to conduct the experiment. The best-selected features in each of the works are tabulated in Table 19. It should be noted that only 17 attributes in Anwer, Farouk and Abdel-Hamid (2018) and 18 attributes in Kasongo and Sun (2020) work are utilized because the attribute of "*rate*" is not available in the full version of UNSW-NB15. experimental settings should be employed to fairly compares the class
ance, we utilized the *attack_cat* along with its 10 distinct classes as des
4.3.2 to conduct the experiment. The best-selected features in each of t
at

Classification accuracy for each of the distinct selected features is tabulated in Supplementary Table 9. To demonstrate the usability of the baseline results delivered in Section 4.5.1, a scatter plot based on the baseline accuracy and 5 feature selection approach for each of the machine classifiers is presented in Supplementary Figure 1. In most cases, it can be seen that ZeroR, Decision Stump and Adaboost is less likely to be affected by the features selected. Generally, the performance of Random Tree, REPtree, Bayesnet, Naïve Bayes, Random Forest, SMO and J48 combining with feature selection techniques surpassed the baseline results. In specific, it can be observed that the performance of the mentioned 7 classifiers has been improved when adopting the 18 features selected by XGBoost. However, we also noticed a significant degradation in terms of performance when using the 8 features chosen by Principal Component Analysis for the 7 classifiers.

Based on the empirical results, a suitable feature selection technique can undeniably boost the performance of the classifiers by eliminating noises. On the contrary, unsuitable feature selection techniques can also be dangerous as it may discard valuable attributes which can be employed to build a better classifier.

Authors	Original Dataset	Moustafa and Slay (2017)	Janarthanan and Zargari (2017)	Moustafa, Creech and Slay (2018)	Answer, Farouk, and Abdel- Hamid (2018)	Kasongo and Sun (2020)
Feature Selection Tech- nique		Association Rule Mining	CfsSubsetEv al (attribute $evaluator) +$ GreedyStepw ise method + InfoGainAttri buteEval + Ranker Method (Weka)	Principal Component Analysis	Gain Ratio Filter	XGBoosts
Number of Feature Selected	49 (including 2 labels)	11	5	$8\,$	18 (use 17)	19 (use 18)
No.	Features					
1	srcip					
$\overline{2}$	sport					
$\overline{3}$	dstip					
$\overline{4}$	dsport					
5	proto					\checkmark
6	state	\checkmark			\checkmark	\checkmark
$\overline{7}$	dur				\checkmark	
8	sbytes		\checkmark		\checkmark	\checkmark
9	dbytes				\checkmark	\checkmark
10	sttl	\checkmark	\checkmark		\checkmark	\checkmark
11	dttl	\checkmark			\checkmark	
12	sloss					\checkmark
13	dloss					\checkmark
14	service		\checkmark	\checkmark		\checkmark
15	Sload					
16	Dload				\checkmark	
17	Spkts					
18	D pkts				\checkmark	
19	swin	\checkmark				
$20\,$	dwin	\checkmark		\checkmark		
21	stcpb					
$\overline{22}$	dtcpb					
23	smeansz		\checkmark	\checkmark		\checkmark
24	dmeansz				\checkmark	\checkmark

Table 19: Features Selected for the 5 Distinct Feature Selection Techniques

5 Conclusion and Future Works

In this paper, a standard resampling method – rolling-origin is adopted to allocate the train-test distribution of the full version of three NIDS dataset (GureKDDCup, UNSW-NB15 and CIDDS-001). Subsequently, 10 notable machine classifiers (ZeroR, Random Tree, REPtree, Decision Stump, Adaboost, Bayesnet, Naïve Bayes, Random Forest, SMO, and J48) are employed to evaluate on the selected three NIDS datasets. The results are presented with five evaluation metrics including classification accuracy, detection rate, false positive rate, building time, and evaluation time. Empirical results in this paper will be served as a baseline comparison for other studies performed on these datasets.

Due to privacy concerns and the massive efforts required to label NIDS dataset, Ring et al. (2019) highlighted that it is impossible to create a perfect NIDS dataset. A perfect NIDS dataset should keep up to date with recent attacks, correctly labelled, publicly available, contain real network traffic with all class of attacks, and captured over a long period of time (Ring et al., 2019). Assume that real network traffics with various attacks have been captured without privacy and computational resources constrain, the time taken necessary to accurately label the data would indefinitely delayed the publishing process. As new attacks are observed in every single day, these delayed would cause the dataset to be slightly outdated when it is published. For the details of traits and properties of a good benchmark NIDS dataset, we refer to the studies conducted by Małowidzki et al. (2015) and Ring et al. (2019). Although it is not possible to build a perfect NIDS dataset, Ring et al. (2019) pointed out that a perfect dataset is not necessary for most application, but instead a good dataset that fulfil a certain property is sufficient. For example, to evaluate a new reconnaissance technique, the NIDS dataset are not expected to contain all kinds of attacks. ng process. As new attacks are observed in every single day, these delaye dataset to be slightly outdated when it is published. For the details of is of a good benchmark NIDS dataset, we refer to the studies cond
lzki et a

Among the three NIDS datasets that have been rigorously experimented in this paper, UNSW-NB15 is appropriate for attack detection in low footprint scenario, CIDDS-001 is suitable for detecting reconnaissance techniques, while GureKDDCup contain most of the features akin to the previous benchmark KDDCup'99 and can be used in place of the previous benchmark dataset.

For future works, rolling-origin resampling methods can be utilized in other NIDS datasets which do not comprise of a pre-defined train-test distribution. To gain a better insight of detection rate, we suggest to reduce the number of classes in GureKDDCup, whereby the 36 classes can be reduced to five classes (normal, probe, denial-of-service, user-to-root, and remote-to-local) or with only binary classes (normal, anomaly). Since

the results in this paper should serve as a baseline, experimental procedure adopting the smaller number of classes are excluded. Future experiments employing the smaller number of classes could utilize the baseline results provided in this paper for comparison purpose, which allows for the assessment of a model's performance gain or loss. On the other hand, experiments that attempt to reduce the enormous number of discrete values in IP features should also be considered to substantiate the speculation made on the impacts of high dimensionality IP attributes on the computation time of the models in Section 4.5.2.

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Appendices

CIDDS-001

1~3 4 79.7988 79.1657 82.0959 91.6120 91.6120 94.3472 88.9631 96.6649 94.0967 **96.7751**

Average 80.6465 85.7551 87.5866 92.0337 92.0337 88.6475 79.5543 95.9894 69.5289 **96.6325**

Supplementary Table 2: Detection Rate of 10 Machine Classifiers on GureKDDCup, UNSW-NB15, and CIDDS-001

CIDDS-001 1 2 | 2 | 82.5870 | 78.9227 | 71.5996 | 85.5956 | **96.8684** | 85.4093 | 56.3338 | 84.6270 | 75.1466 | 94.4539

Supplementary Table 3: False Positive Rate of 10 Machine Classifiers on GureKDDCup, UNSW-NB15, and CIDDS-001

CIDDS-001

Supplementary Table 4: Best Results for Classification Accuracy, Detection Rate, and False Positive Rate of 10 Machine Classifiers on GureKDDCup, UNSW-NB15, and CIDDS-001

GureKDDCup

UNSW-NB15

Supplementary Table 5: Build Time of 10 Machine Classifiers on GureKDDCup, UNSW-NB15, and CIDDS-001

Time required > 10800 seconds (3 hours) are bold

Supplementary Table 6: Evaluation Time of 10 Machine Classifiers on GureKDDCup, UNSW-NB15, and CIDDS-001

Not available (n/a)

Time required > 10800 seconds (3 hours) are bold

Supplementary Table 7: Classification Accuracy, Detection Rate and False Positive Rate of 10 Machine Classifiers on GureKDDCup, UNSW-NB15, and CIDDS-001 using 10-Fold Cross-Validation

Supplementary Table 8: Evaluation Time of 10 Machine Classifiers on GureKDDCup, UNSW-NB15, and CIDDS-001 using 10-Fold Cross-Validation

Not available (n/a) Time required > 10800 seconds (3 hours) are bold

Supplementary Table 9: Classification Accuracy of 10 Machine Classifiers on UNSW-NB15 using 5 Feature Selection Techniques

Moustafa and Slay (2017) – Association Rule Mining

Janarthanan and Zargari (2017) – Attribute Evaluator + Greedystepwise + Information Gain Attribute Evalutor + Ranker

Moustafa, Creech and Slay (2018) – Principal Component Analysis

Anwer, Farouk and Abdel-Hamid (2018) – Gain Ratio Filter

Kasongo and Sun (2020) – XGBoost

Supplementary Figure 1: Classification Accuracy Comparison of 10 Machine Classifiers on UNSW-NB15 by utilizing the Baseline Results (Supplementary Table 1) against five distinct feature selection schemes (Supplementary Table 9)